

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Hiroyuki NANSEI et al.

Serial Number: 10/643,967

**Application Division** 

Filed: **August 20, 2003** 

Customer No.: 38834

Date: June 17, 2004

For:

SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR

MANUFACTURING SEMICONDUCTOR DEVICE

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the aboveidentified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the Official Filing Receipt, we noted an error in the **Assignee City**. The **Assignee City** should read: --AIZUWAKAMATSU-SHI--. A copy of the Assignment is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. <u>50-2866</u>.

Respectfully submitted,

WESTERMAN, HATTORI, DAMELS & ADRIAN, LLP

Stephen G. Adrian Attorney for Applicants Reg. No.: 32,878

Atty. Docket No.: **030993**1250 Connecticut Avenue N.W.,
Suite 700,
Washington, D.C.20036
(202) 822-1100

SGA/rf

Enclosures: Official Filing Receipt; Assignment

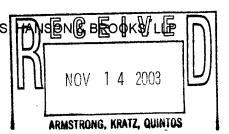


UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Viginia 22313-1450 www.usplu.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/643,967	08/20/2003	2812	1242	030993	36	38	5

**CONFIRMATION NO. 4992** 

23850 ARMSTRONG, KRATZ, QUINTOS 1725 K STREET, NW **SUITE 1000** WASHINGTON, DC 20006



FILING RECEIPT \*OC000000011263879\*

Date Mailed: 11/13/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Hiroyuki Nansei, Aizuwakamatsu, JAPAN; Manabu Nakamura, Aizuwakamatsu, JAPAN; Kentaro Sera, Aizuwakamatsu, JAPAN; Masahiko Higashi, Aizuwakamatsu, JAPAN; Yukihiro Utsuno, Aizuwakamatsu, JAPAN; Hideo Takagi, Aizuwakamatsu, JAPAN; Tatsuya Kajita, Aizuwakamatsu, JAPAN;

### Assignment For Published Patent Application

FUJITSU AMD SEMICONDUCTOR LIMITED, Aziuwakamatsu-shi, JAPAN;

Aizuwakamatsu-shi

Domestic Priority data as claimed by applicant

#### Foreign Applications

JAPAN 2002-256195 08/30/2002

If Required, Foreign Filing License Granted: 11/13/2003

Projected Publication Date: 03/04/2004

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor memory device and method for manufacturing semiconductor device

**Preliminary Class** 

438

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Docket No. \_\_\_\_

## U.S. ASSIGNMENT

(Insert ASSIGNEE's	IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by FUJITSU AMD SEMICONDUCTOR LIMITED, 6, Kogyodanchi,							
Name(s) Address(es))	Monden-machi, Aizuwakamatsu-shi, Fukushima 965-0845 Japan							
	(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled							

(Title of Invention)

SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR MANUFACTURING

SEMICONDUCTOR DEVICE

(\*If the assignment is being filed after the filing of the application, this section must be completed)

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

filed on \_\_\_\_\_, Serial No. \_\_\_\_\_

(ARMSTRONG, WESTERMAN & HATTORI, LLP is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefore on said application or any continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

· · · · · · · · · · · · · · · · · · ·		
Hivozuki Nanzei (Signature)	Hiroyuki NANSEI (Type Name)	Jal 14,2003
Manabu Nakamura	Manabu NAKAMURA	71 11 2
(Signature)	(Type Name)	Jul 17, 2003 (Date)
Kentono Sua	Kentaro SERA	Toly/14/2003
(Signature)	(Type Name)	(Date)
Masahila Higashi	Masahiko HIGASHI	July/14/2003
(Signature)	(Type Name)	(Date)
Yukihiro Utsuno	Yukihiro UTSUNO	July/14/2003
(Signature)	(Type Name)	(Date)
Hideo Tabagi	Hideo TAKAGI	July/15/2003
(Signature)	(Type Name)	`1 '1.
Tate - dyl	Tatsuya KAJITA	7/15/03
(Signature)/	(Type Name)	(Daťe)